



ALTERNATIVE TO PTO/SB/08A/8
(Based on PTO 04-07 version)

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/579,903-Conf. #6919
				Filing Date	May 19, 2006
				First Named Inventor	Tomiji Tanaka
				Art Unit	2828
				Examiner Name	Michael Carter
Sheet	1	of	1	Attorney Docket Number	SON-3163

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ³
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
/M.C./	BA	JP-60-92681	05-24-1985	Matsushita Electric Ind Co Ltd		
/M.C./	BB	JP-62-90990	04-25-1987	Hitachi Koki Co Ltd		
/M.C./	BC	EP 1 691 458 A1	08-16-2006	Sony Corporation		✓

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS'				
Examiner Initials'	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
/M.C./	CA	European Search Report; Application No./Patent No.: 04799951.1-2222 PCT/JP2004018101; Dated: August 7, 2007.		
/M.C./	CB	T. Mizuno et al "100mW Kink-free Blue-violet Laser Diodes with Low Aspect Ratio" Proceedings of the 11 th Sony Research Forum (2001) pgs 201-206	✓	
/M.C./	CC	L. Ricci et al., "A compact grating-stabilized diode laser system for atomic physics", Optics Communications 117, pgs. 541-549, June 15, 1995	✓	
/M.C./	CD	Lars Hildebrandt et al., "Antireflection-coated blue GaN laser diodes in an external cavity and Doppler-free indium absorption spectroscopy", Applied Optics, vol. 42, No. 12, pgs. 2110-2118, April 20, 2003		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Michael Carter/	Date Considered	11/08/2007
-----------------------	------------------	--------------------	------------



Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/579,903-Conf. #6919
				Filing Date	May 19, 2006
				First Named Inventor	Tomiji Tanaka
				Art Unit	2828
				Examiner Name	Michael Carter
Sheet	1	of	1	Attorney Docket Number	SON-3163

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			
/M.C./	BA	JP-2002-148492	05-22-2002	Sumitomo Electric Industries		
/M.C./	BB	JP-2002-131583	05-09-2002	Furukawa Electric Co Ltd		
/M.C./	BC	JP-2003-283036	10-03-2003	Furukawa Electric Co Ltd		

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
/M.C./	CA	T. Mizuno et al "100mW Kink-free Blue-violet Laser Diodes with Low Aspect Ratio" Soney Research Forum (2001) pgs 201-206		
/M.C./	CB	English Language Translation of Notification of Reasons of Refusal dated June 12, 2007, issued in Japanese Application No. 2003-404693		✓
/M.C./	CC	Notification of Reasons of Refusal dated June 12, 2007, issued in Japanese Application No. 2003-404693		✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Michael Carter/	Date Considered	11/08/2007
--------------------	------------------	-----------------	------------

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/579,903		
		Filing Date	5/19/06		
		First Named Inventor	Tomiji Tanaka		
		Art Unit	2828		
		Examiner Name	Michael Carter		
Sheet	1	of	1	Attorney Docket Number	SON-3163

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*				
	AB*				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
/M.C./	BA	JP 2002-131583	05-09-02	Furukawa Electric Co., Ltd.		✓
/M.C./	BB	JP 2003-283036	10-03-2003	Furukawa Electric Co., Ltd.		✓
	BC					✓
	BD					
	BE					
	BF					
	BG					

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
/M.C./	CA	International Search Report mailed March 1, 2005			
/M.C./	CB	Takashi Mizuno, et al., "100mW Kink Free, Tei-Aspect-hi Aomurasaki-ro Handotai Laser," Proceeding of the 11 th Sony Research Forum 2001, pages 201-206			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Michael Carter/	Date Considered	11/08/2007
-----------------------	------------------	--------------------	------------